

PRADEMI	U.S. DEPARTMENT OF PATENT AND TRADEM			
SUPPLEMENTAL DISCLOSURE STA		Docket Number: 11443/72		
Application Number 10/099,634	Filing Date March 15, 2002	Examiner	Art Unit 3739	
Invention Title ELECTRO-MECHAN	ICAL SURGICAL DEVICE	Inventor(s) Michael P. W	hitman et al.	

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

- In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance I. with the procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for Applicant hereby bring the following references to the attention of the Examiner. The references are listed on the attached modified PTO Form No. 1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The filing of this Information Disclosure Statement and the enclosed PTO Form No. 1449, shall not be construed as an admission that the information cited is prior art, or is considered to be material to patentability as defined in 37 C.F.R. 1.56(b).
- A copy of each patent, publication or other information listed on the modified PTO form II. 1449.

III. The Commissioner is hereby authorized to charge payment of the 37 C.F.R. §1.17(p) (submission of an information disclosure statement under §1.97(c)) fee of \$180.00 and any additional fees that may be required to the deposit account of Kenyon & Kenyon, deposit account number 11-0600.

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By:

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTY. DOCKET NO. 11443/72	SERIAL NO. 10/099,634
	APPLICANT Whitman et al.	
	FILING DATE March 15, 2002	GROUP 3739

## **U. S. PATENT DOCUMENTS**

EXAM. INITIAL	PATENT/ PUBLICATION NUMBER	NAME	PATENT/ PUBLICATION DATE	CLAS S	SUBC LASS	FILING DATE
	1,798,902	E. M. Raney	March 31, 1931			
	2,031,682	R. H. Wappler et al.	February 25, 1936			
	2,174,219	A. Balma	September 26, 1939			
	2,246,647	R. Vancura	June 24, 1941			,
	2,419,045	C. C. Whittaker	April 15, 1947			
	2,725,628	W. J. O'Neilly et al.	December 6, 1955			
	3,120,845	D. B. Horner	February 11, 1964			
	3,193,165	M.G. Akhalaya, et al.	July 6, 1965			
	3,193,165	M.G. Akhalaya, et al.	July 6, 1965			
. 1	3,252,643	A. A. Strekopytov et al.	May 24, 1966			
	3,253,643	A.R. Gudheim	May 31, 1966			
	3,275,211	L.C. Hirsch et al.	September 27, 1966			
	3,315,863	T.M. O'Dea	April 25, 1967			
	3,317,105	G.V. Astafiev, et al.	May 2, 1967			
	3,388,847	V.S. Kasulin, et al.	June 18, 1968		]	
	3,490,576	A.J. Alessi, et al.	January 20, 1970			
	3,490,675	D.T. Green, et al.	January 20, 1970			
	3,494,533	D.T. Green, et al.	February 10, 1970			
	3,499,591	D.T. Green	March 10, 1970			
	3,499,591	D. T. Green	March 10, 1970			
	3,552,626	G.V. Astafiev, et al.	January 5, 1971			
	3,589,589	E.M. Akopov	June 29, 1971			
	3,593,903	G.V. Astafiev, et al.	July 20, 1970			
	3,593,903	Astafiev et al.	July 12, 1968			
	3,638,652	J.L. Kelley	February 1, 1972			

EXAM. INITIAL	PATENT/ PUBLICATION NUMBER	NAME	PATENT/ PUBLICATION DATE	CLAS S	SUBC LASS	FILING DATE
	3,643,851	D. T. Green	February 22, 1972			
	3,662,939	G.W. Bryan	May 16, 1972			
	3,675,688	Bryan et al.	July 11, 1972			
	3,692,224	G.V. Astafiev, et al	September 19, 1972			
	3,717,294	D. T. Green	February 20, 1973			
	3,735,762	Bryan et al.	May 29, 1973			
	3,777,538	Weatherly et al.	December 11, 1973			
	3,788,303	Hall	January 29, 1974			
	3,795,034	A.A. Strekopytov, et al.	March 5, 1974			
	3,815,476	D.T. Green, et al.	June 11, 1974			
	3,819,100	Noiles et al.	June 25, 1974			
	3,837,555	Green	September 24, 1974			
	3,844,289	Noiles et al.	October 29, 1974			
	3,882,854	Hulka et al.	May 13, 1975			
	3,892,228	Mitsui	July 1, 1975			
	3,935,981	Akopov, et al.	February 3, 1976			
	3,949,924	Green	April 13, 1976			
	4,014,492	Rothfuss	March 29, 1977			
	4,027,510	Hiltebrandt	June 7, 1977			
	4,060,089	Noiles	November 29, 1977			
	4,064,881	Meredith	December 27, 1977			
	4,086,926	Green et al.	May 2, 1978			
	4,092,986	Schneiderman	June 6, 1978			
	4,111,206	Vishnevsky, et al.	September 5, 1978			
	4,169,476	Hiltebrandt	October 2, 1979			
	4,198,982	Fortner, et al.	April 22, 1980			
	4,202,479	Razgulov, et al.	May 13, 1980			
	4,202,480	Annett	May 13, 1980			
	4,207,873	Kruy	June 17, 1980			
	4,207,898	Becht	June 17, 1980			
	4,244,372	Kapitanov, et al.	January 13, 1981			
	4,261,244	Becht, et al.	April 14, 1981			
	4,273,129	Boebel	June 16, 1981			
·····	4,296,881	Lee	October 27, 1981			
	4,304,236	Conta et al.	December 8, 1991			

EXAM. INITIAL	PATENT/ PUBLICATION NUMBER	NAME	PATENT/ PUBLICATION DATE	CLAS S	SUBC LASS	FILING DATE
	4,319,576	Rothfuss	March 16, 1982			
	4,325,377	Boebel	April 20, 1982			
	4,349,028	Green	September 14, 1982			
	4,354,628	Green; David T.	October 19, 1982			<del>-</del>
	4,383,634	Green	May 17, 1983			
	4,383,634	Green	May 17, 1983			-
	4,391,401	Moshofsky	July 5, 1983			
	4,402,445	Green	September 6, 1983			
	4,423,730	Gabbay	January 3, 2984			
	4,461,305	Cibley	July 24, 1984			
	4,473,077	Noiles, et al.	September 25, 1984			
	4,489,875	Crawford, et al.	December 25, 1984			
	4,506,670	Crossley	March 26, 1985			
	4,506,671	Green	March 26, 1985			
	4,513,746	Aranyi, et al.	April 30, 1985			
	4,519,532	Foslien	May 28, 1985			
	4,527,724	Kapitanov, et al.	January 13, 1981			
	4,534,352	Korthoff	August 13, 1985			
	4,566,620	Green et al.	January 28, 1986			
	4,573,622	Green et al.	March 4, 1986			
,, ,	4,589,416	Green	May 20, 1986			
	4,589,582	Bilotti	May 20, 1986			
	4,591,085	Di Giovanni	May 27, 1986			
	4,607,638	Crainich	August 26, 1986			
	4,633,861	Chow, et al.	Jan. 6, 1987			
	4,633,874	Chow, et al.	Jan. 6, 1987			
	4,643,190	Heimberger	February 17, 1987			
.=	4,657,017	Sorochenko	April 14, 1987			
	4,664,305	Blake, III, et al.	May 12, 1987			
	4,669,471	Hayashi	June 2, 1987			
	4,682,596	Bales, et al.	July 28, 1987			
<del>-</del>	4,688,555	Wardle	August 25, 1987			
	4,714,187	Green	December 22, 1987			
	4,715,502	Salmon	December 29, 1987			
	4,728,020	Green, et al.	March 1, 1988	1		

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EXAM. INITIAL	PATENT/ PUBLICATION NUMBER	NAME	PATENT/ PUBLICATION DATE	CLAS S	SUBC LASS	FILING DATE
	4,729,763	Henrie	March 8, 1988			
	4,763,669	Jaeger	August 16, 1988			
	4,767,044	Green	August 30, 1988			
-	4,805,823					
	4805823	Rothfuss	February 21, 1989			-
	4,819,853	Green	April 11, 1989			
	4,841,888	Mills, et al.	June 27, 1989			
	4,848,637	Pruitt	July 18, 1989			
	4,858,608	McQuilkin	August 22, 1989			
	4,863,088	Redmond, et al.	September 5, 1989			
	4,887,612	Esser, et al.	December 19, 1989			
	4,919,152	Ger	April 24, 1990			
	4,932,960	Green et al.	June 12, 1990			
	4,941,623	Pruitt	July 17, 1990			
	4,943,277	Bolling	July 24, 1990			
	4,944,093	Falk	July 31, 1990			
	4,944,443	Oddsen, et al.	July 31, 1990			
	4,955,959	Tompkins, et al.	September 11, 1990			
,	4,977,900	Fehling, et al.	December 18, 1990			
÷	4,978,049	Green	December 18, 1990			
	4,991,764	Mericle	February 12, 1991			
	5,018,657	Pedlick, et al.	May 28, 1991			
	5,031,814	Tompkins, et al.	July 16, 1991			
	5,037,379	Clayman, et al.	August 6, 1991			
	5,071,430	de Salis, et al.	December 10, 1991			
	5,084,045	Helenowski	January 28, 1992			
	5,108,391	Flachenecker, et al.	April 28, 1992			
	5,119,983	Green et al.	June 9, 1992			
	5,133,360	Spears	July 28, 1992			
	5,143,082	Kindberg et al.	September 1, 1992			
	5,156,315	Rose	October 27, 1992			
<del>, ·</del>	5,157,837	Green	October 20, 1992			
	5,158,222	Green	October 27, 1992			
	5,170,925	Madden, et al.	December 15, 1992			
	5,173,133	Morin, et al.	December 22, 1992			

EXAM. INITIAL	PATENT/ PUBLICATION NUMBER	NAME	PATENT/ PUBLICATION DATE	CLAS S	SUBC LASS	FILING DATE
	5,176,687	Hasson, et al.	January 5, 1993			
	5,186,714	Boudreault, et al.	February 16, 1993			
	5,190,542	Nakao, et al.	March 2, 1993			
	5,197,968	Clement	March 30, 1993			
	5,207,684	Nobles	May 4, 1993			
	5,215,521	Cochran, et al.	June 1, 1993			
	5,217,030	Yoon	June 8, 1993	<u> </u>		
	5,217,460	Knoepfler	June 8, 1993		<u> </u>	
	5,234,439	Wilk, et al.	August 10, 1993			
	5,253,793	Green	October 19, 1993			
	5,254,117	Rigby, et al.	October 19, 1993	Ì		
	5,258,004	Bales, et al.	November 2, 1993			
	5,275,609	Pingleton, et al.	January 4, 1994			
	5,290,303	Pingleton, et al.	March 1, 1994			<del> </del>
	5,292,053	Bilotti, et al.	March 8, 1994			<del></del>
	5,295,990	Levin	March 22, 1994			
	5,300,087	Knoepfler	April 5, 1994			
	5,312,416	Spaeth, et al.	May 17, 1994			
	5,312,434	Crainich	May 17, 1994	<u> </u>		
·	5,318,221	Green, et al.	June 7, 1994			
	5,320,627	Sorensen, et al.	June 14, 1994	1		
	5,322,055	Davison, et al.	June 21, 1994			
	5,324,288	Billings, et al.	June 28, 1994			
	5,324,300	Elias, et al.	June 28, 1994			
	5,330,471	Eggers	July 19, 1994			
	5,330,483	Heaven, et al.	July 19, 1994			
	5,336,229	Noda	August 9, 1994			
	5,336,237	Chin, et al.	August 9, 1994			
	5,342,381	Tidemand	August 30, 1994			
	5,346,497	Simon, et al.	September 13, 1994			
	5,352,222	Rydell	October 4, 1994			
	5,352,223	McBrayer, et al.	October 4, 1994			
	5,352,235	Koros, et al.	October 4, 1994			
	5,354,303	Spaeth, et al.	October 11, 1994			
	5,356,408	Rydell	October 18, 1994	Ì		

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EXAM. INITIAL	PATENT/ PUBLICATION NUMBER	NAME	PATENT/ PUBLICATION DATE	CLAS S	SUBC LASS	FILING DATE
	5,358,496	Ortiz, et al.	October 25, 1994			
	5,364,409	Kuwabara, et al.	November 15, 1994			
	5,366,133	Geiste	November 22, 1994			
	5,366,476	Noda	November 22, 1994			
	5,387,196	Green et al.	February 7, 1995			
	5,391,156	Hildwein, et al.	February 21, 1995			
	5,395,369	McBrayer, et al.	March 7, 1995			
	5,396,900	Slater, et al.	March 14, 1995			
	5,397,046	Savage, et al.	March 14, 1995			
	5,409,487	Jalbert et al.	April 25, 1995			
	5,425,705	Evard, et al.	June 20, 1995			
	5,425,745	Green, et al.	June 20, 1995			
	5,456,684	Schmidt, et al.	October 10, 1995			
. :	5,464,404	Abela, et al.	November 7, 1995			
·	5,465,894	Clark, et al.	November 14, 1995			
	5,476,206	Green, et al.	December 19, 1995			
	5,482,054	Slater, et al.	January 9, 1996			
	5,496,317	Goble, et al.	March 5, 1996			
	5,514,134	Rydell, et al.	May 7, 1996			
	5,520,634	Fox, et al.	May 28, 1996			
	5,527,313	Scott, et al.	June 18, 1996			
	5,535,937	Boiarski, et al.	July 16, 1996			
	5,562,677	Hildwein, et al.	October 8, 1996			
	5,569,274	Rapacki, et al.	October 29, 1996			
	5573543	Akopov et al	November 12, 1996			
	5,578,052	Koros, et al.	November 26, 1996			
•	5,580,067	Hamblin, et al.	December 3, 1996		Ì	
	5,584,848	Yoon	December 17, 1996			-
	5,603,443	Clark, et al.	February 18, 1997			
	5,607,094	Clark, et al.	March 4, 1997			
	5,618,303	Marlow, et al.	April 8, 1997			
	5,618,307	Donlon, et al.	April 8, 1997		1	
	5,626,607	Malecki, et al.	May 6, 1997			
	5,653,692	Masterson, et al.	August 5, 1997			
	5,665,100	Yoon	September 9, 1997		1	

EXAM. INITIAL	PATENT/ PUBLICATION NUMBER	NAME	PATENT/ PUBLICATION DATE	CLAS S	SUBC LASS	FILING DATE
	5,667,526	Levin	September 16, 1997		L	
	5,688,269	Newton, et al.	November 18, 1997			
-	5,713,870	Yoon	February 3, 1998			
	5,732,871	Clark, et al.	March 31, 1998			
	5,735,289	Pfeffer, et al.	April 7, 1998			
	5,735,848	Yates, et al.	April 7, 1998			
	5,735,849	Baden, et al.	April 7, 1998			
	5,735,861	Peifer, et al.	April 7, 1998			
·	5,741,285	McBrayer, et al.	April 21, 1998			
	5,807,318	St. Goar, et al.	September 15, 1998			
	5,823,956	Roth, et al.	October 20, 1998			
	5,830,191	Hildwein, et al.	November 3, 1998			
,	5,855,590	Malecki, et al.	January 5, 1999			
	5,863,366	Snow	January 26, 1999			
	5,868,779	Ruiz	February 9, 1999			
	5,893,875	O'Connor, et al.	April 13, 1999			
	5,913,842	Boyd, et al.	June 22, 1999			
	5,928,261	Ruiz	July 27, 1999			
	6,110,188	Narciso, Jr.	August 29, 2000			
	6,159,196	Ruiz	December 12, 2000			
-	6,171,282	Ragsdale	January 9, 2001			
	6,237,605	Vaska et al.	May 29, 2001			
	6,251,093	Valley, et al.	June 26, 2001			
	6,261,273	Ruiz	July 17, 2001			
	6,280,415	Johnson	August 28, 2001			
	6,368,340	Malecki, et al.	April 9, 2002			
	6790217	Schulze et al.	September 14, 2004			
	UA20010016750A1	Malecki et al.	August 23, 2001			
	UA20010031975A1	Whitman et al.	October 18, 2001			
	UA20010001812A1	Valley et al.	May 24, 2001			
	UA20020104400A1	Hillgaertner et al.	August 8, 2002			·
<u>.</u>	UA20010010247A1	Snow, David W.	August 2, 2001			
	UA20010016725A1	Valley et al.	August 23, 2001			
-	UA20010023334A1	St. Goar et al.	September 20, 2001			
	UA20010044591A1	Stevens et al.	November 22, 2001			

EXAM. INITIAL	PATENT/ PUBLICATION NUMBER	NAME	PATENT/ PUBLICATION DATE	CLAS S	SUBC LASS	FILING DATE
	UA20020013569A1	Sterman et al.	January 31, 2002			
	UA20020026094A1	Roth, Alex T.	February 28, 2002			
	UA20020029783A1	Stevens et al.	March 14, 2002			
	UA20020068922A1	Peters, William S.	June 6, 2002			
	UA20020072741A1	Sliwa et al.	June 13, 2002			
	UA20020087157A1	Sliwa et al.	July 4, 2002			
	Re. 28932	Noiles et al.	August 17, 1976			
	Re. 035352	Peters	October 15, 1996			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	COUNTRY	DATE	NAME	SUBCLASS	TRANS	SLATIO N
						YES	NO
	2,330,182	DE	January 2, 1975	R. Wolf GmbH			
	3,114,135	DE	October 21, 1982	B. Achim			
	41,022	EP	December 2, 1981	D. T. Green			
	93,101	EP	November 2, 1983	B. Gustavsson			
	293,123	EP	January 30, 1988	D. T. Green			
	324,166	EP	July 19, 1989	R. L. Peters et al.			
	324,637	EP	July 19, 1989	D. Schulze et al.			
	365,153	EP	April 25, 1990	W. D. Fox			
	369,324	EP	May 23, 1990	S. J. Desalis			
	373,762	EP	June 20, 1990	W. D. Fox et al.			
	484,677	ЕР	July 5, 2000	D. T. Green et al.			
	552,423	EP	January 7, 1998	D. T. Green et al.			
	581,400	EP	May 31, 2000	D. Schulze			
	630,612	EP	December 28, 1994	M. T. Byrne et al.			
	639,349	EP	February 22, 1995	J. R. Pratt et al.			
	679,367	EP	November 2, 1995	A. A. Bolarski et al.			
	1,352,554	GB	May 8, 1974	G. W. Bryan et al.			
	1,452,185	GB	October 13, 1976	R. Wolf GmbH			

EXAMINER INITIAL	DOCUMENT NUMBER	COUNTRÝ	DATE	NAME	SUBCLASS	TRANSLATIO N
	2,048,685	GB	December 17, 1980	M. Boebel		
	2,022,421	GB	December 19, 1979	R. Wolf		
	2,031,733	GB	April 30, 1980	A. O'Neil		
	2,165,559	GB	April 16, 1986	T. N. Mills		
	7,711,347	NL	April 19, 1979	C. Daantje		
	659,146	RU				
	WO 9006085	wo	June 14, 1990	B. S. Gingold		

## **OTHER DOCUMENTS**

EXAMINER INITIAL	Name	Publication date	Name

## EXAMINER DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.